

We can show further that *there can be no machine \mathcal{E} which, when supplied with the S.D of an arbitrary machine \mathcal{M} , will determine whether \mathcal{M} ever prints a given symbol (0 say).*

We will first show that, if there is a machine \mathcal{E} , then there is a general process for determining whether a given machine \mathcal{M} prints 0 infinitely often. Let \mathcal{M}_1 be a machine which prints the same sequence as \mathcal{M} , except that in the position where the first 0 printed by \mathcal{M} stands, \mathcal{M}_1 prints $\bar{0}$. \mathcal{M}_2 is to have the first two symbols 0 replaced by $\bar{0}$, and so on. Thus, if \mathcal{M} were to print

$$A B A 0 1 A A B 0 0 1 0 A B \dots,$$

then \mathcal{M}_1 would print

$$A B A \bar{0} 1 A A B 0 0 1 0 A B \dots$$

and \mathcal{M}_2 would print

$$A B A \bar{0} 1 A A B \bar{0} 0 1 0 A B \dots$$

Now let \mathcal{P} be a machine which, when supplied with the S.D of \mathcal{M} , will write down successively the S.D of \mathcal{M} , of \mathcal{M}_1 , of \mathcal{M}_2 , ... (there is such a machine). We combine \mathcal{P} with \mathcal{E} and obtain a new machine, \mathcal{Q} . In the motion of \mathcal{Q} , first \mathcal{P} is used to write down the S.D of \mathcal{M} , and then \mathcal{E} tests it: :0: is written if it is found that \mathcal{M} never prints 0; then \mathcal{P} writes the S.D of \mathcal{M}_1 , and this is tested, :0: being printed if and only if \mathcal{M}_1 never prints 0, and so on. Now let us test \mathcal{Q} with \mathcal{E} . If it is found that \mathcal{Q} never prints 0, then \mathcal{M} prints 0 infinitely often; if \mathcal{Q} prints 0 sometimes, then \mathcal{M} does not print 0 infinitely often.

Similarly there is a general process for determining whether \mathcal{M} prints 1 infinitely often. By a combination of these processes we have a process for determining whether \mathcal{M} prints an infinity of figures, *i.e.* we have a process for determining whether \mathcal{M} is circle-free. There can therefore be no machine \mathcal{E} .

The expression "there is a general process for determining ..." has been used throughout this section as equivalent to "there is a machine which will determine ...". This usage can be justified if and only if we can justify our definition of "computable". For each of these "general process" problems can be expressed as a problem concerning a general process for determining whether a given integer n has a property $G(n)$ [*e.g.* $G(n)$ might mean " n is satisfactory" or " n is the Gödel representation of a provable formula"], and this is equivalent to computing a number whose n -th figure is 1 if $G(n)$ is true and 0 if it is false.

9. *The extent of the computable numbers.*

No attempt has yet been made to show that the "computable" numbers include all numbers which would naturally be regarded as computable. All arguments which can be given are bound to be, fundamentally, appeals to intuition, and for this reason rather unsatisfactory mathematically. The real question at issue is "What are the possible processes which can be carried out in computing a number?"

The arguments which I shall use are of three kinds.

(a) A direct appeal to intuition.

(b) A proof of the equivalence of two definitions (in case the new definition has a greater intuitive appeal).

(c) Giving examples of large classes of numbers which are computable.

Once it is granted that computable numbers are all "computable", several other propositions of the same character follow. In particular, it follows that, if there is a general process for determining whether a formula of the Hilbert function calculus is provable, then the determination can be carried out by a machine.

I. [Type (a)]. This argument is only an elaboration of the ideas of § 1.

Computing is normally done by writing certain symbols on paper. We may suppose this paper is divided into squares like a child's arithmetic book. In elementary arithmetic the two-dimensional character of the paper is sometimes used. But such a use is always avoidable, and I think that it will be agreed that the two-dimensional character of paper is no essential of computation. I assume then that the computation is carried out on one-dimensional paper, *i.e.* on a tape divided into squares. I shall also suppose that the number of symbols which may be printed is finite. If we were to allow an infinity of symbols, then there would be symbols differing to an arbitrarily small extent†. The effect of this restriction of the number of symbols is not very serious. It is always possible to use sequences of symbols in the place of single symbols. Thus an Arabic numeral such as

† If we regard a symbol as literally printed on a square we may suppose that the square is $0 \leq x \leq 1$, $0 \leq y \leq 1$. The symbol is defined as a set of points in this square, *viz.* the set occupied by printer's ink. If these sets are restricted to be measurable, we can define the "distance" between two symbols as the cost of transforming one symbol into the other if the cost of moving unit area of printer's ink unit distance is unity, and there is an infinite supply of ink at $x = 2$, $y = 0$. With this topology the symbols form a conditionally compact space.

17 or 9999999999999999 is normally treated as a single symbol. Similarly in any European language words are treated as single symbols (Chinese, however, attempts to have an enumerable infinity of symbols). The differences from our point of view between the single and compound symbols is that the compound symbols, if they are too lengthy, cannot be observed at one glance. This is in accordance with experience. We cannot tell at a glance whether 9999999999999999 and 9999999999999999 are the same.

The behaviour of the computer at any moment is determined by the symbols which he is observing, and his "state of mind" at that moment. We may suppose that there is a bound B to the number of symbols or squares which the computer can observe at one moment. If he wishes to observe more, he must use successive observations. We will also suppose that the number of states of mind which need be taken into account is finite. The reasons for this are of the same character as those which restrict the number of symbols. If we admitted an infinity of states of mind, some of them will be "arbitrarily close" and will be confused. Again, the restriction is not one which seriously affects computation, since the use of more complicated states of mind can be avoided by writing more symbols on the tape.

Let us imagine the operations performed by the computer to be split up into "simple operations" which are so elementary that it is not easy to imagine them further divided. Every such operation consists of some change of the physical system consisting of the computer and his tape. We know the state of the system if we know the sequence of symbols on the tape, which of these are observed by the computer (possibly with a special order), and the state of mind of the computer. We may suppose that in a simple operation not more than one symbol is altered. Any other changes can be split up into simple changes of this kind. The situation in regard to the squares whose symbols may be altered in this way is the same as in regard to the observed squares. We may, therefore, without loss of generality, assume that the squares whose symbols are changed are always "observed" squares.

Besides these changes of symbols, the simple operations must include changes of distribution of observed squares. The new observed squares must be immediately recognisable by the computer. I think it is reasonable to suppose that they can only be squares whose distance from the closest of the immediately previously observed squares does not exceed a certain fixed amount. Let us say that each of the new observed squares is within L squares of an immediately previously observed square.

In connection with "immediate recognisability", it may be thought that there are other kinds of square which are immediately recognisable. In particular, squares marked by special symbols might be taken as imme-

diately recognisable. Now if these squares are marked only by single symbols there can be only a finite number of them, and we should not upset our theory by adjoining these marked squares to the observed squares. If, on the other hand, they are marked by a sequence of symbols, we cannot regard the process of recognition as a simple process. This is a fundamental point and should be illustrated. In most mathematical papers the equations and theorems are numbered. Normally the numbers do not go beyond (say) 1000. It is, therefore, possible to recognise a theorem at a glance by its number. But if the paper was very long, we might reach Theorem 157767733443477; then, further on in the paper, we might find "... hence (applying Theorem 157767733443477) we have ...". In order to make sure which was the relevant theorem we should have to compare the two numbers figure by figure, possibly ticking the figures off in pencil to make sure of their not being counted twice. If in spite of this it is still thought that there are other "immediately recognisable" squares, it does not upset my contention so long as these squares can be found by some process of which my type of machine is capable. This idea is developed in III below.

The simple operations must therefore include:

- (a) Changes of the symbol on one of the observed squares.
- (b) Changes of one of the squares observed to another square within L squares of one of the previously observed squares.

It may be that some of these changes necessarily involve a change of state of mind. The most general single operation must therefore be taken to be one of the following:

- (A) A possible change (a) of symbol together with a possible change of state of mind.
- (B) A possible change (b) of observed squares, together with a possible change of state of mind.

The operation actually performed is determined, as has been suggested on p. 250, by the state of mind of the computer and the observed symbols. In particular, they determine the state of mind of the computer after the operation is carried out.

We may now construct a machine to do the work of this computer. To each state of mind of the computer corresponds an " m -configuration" of the machine. The machine scans B squares corresponding to the B squares observed by the computer. In any move the machine can change a symbol on a scanned square or can change any one of the scanned squares to another square distant not more than L squares from one of the other scanned

squares. The move which is done, and the succeeding configuration, are determined by the scanned symbol and the m -configuration. The machines just described do not differ very essentially from computing machines as defined in § 2, and corresponding to any machine of this type a computing machine can be constructed to compute the same sequence, that is to say the sequence computed by the computer.

II. [Type (b)].

If the notation of the Hilbert functional calculus† is modified so as to be systematic, and so as to involve only a finite number of symbols, it becomes possible to construct an automatic‡ machine \mathfrak{A} , which will find all the provable formulae of the calculus§.

Now let α be a sequence, and let us denote by $G_\alpha(x)$ the proposition “The x -th figure of α is 1”, so that $\neg G_\alpha(x)$ means “The x -th figure of α is 0”. Suppose further that we can find a set of properties which define the sequence α and which can be expressed in terms of $G_\alpha(x)$ and of the propositional functions $N(x)$ meaning “ x is a non-negative integer” and $F(x, y)$ meaning “ $y = x + 1$ ”. When we join all these formulae together conjunctively, we shall have a formula, \mathfrak{A} say, which defines α . The terms of \mathfrak{A} must include the necessary parts of the Peano axioms, viz.,

$$(\exists u) N(u) \ \& \ (x) \left(N(x) \rightarrow (\exists y) F(x, y) \right) \ \& \ \left(F(x, y) \rightarrow N(y) \right),$$

which we will abbreviate to P .

When we say “ \mathfrak{A} defines α ”, we mean that $\neg \mathfrak{A}$ is not a provable formula, and also that, for each n , one of the following formulae (A_n) or (B_n) is provable.

$$\mathfrak{A} \ \& \ F^{(n)} \rightarrow G_\alpha(u^{(n)}), \quad (A_n)^\P$$

$$\mathfrak{A} \ \& \ F^{(n)} \rightarrow \left(\neg G_\alpha(u^{(n)}) \right), \quad (B_n),$$

where $F^{(n)}$ stands for $F(u, u') \ \& \ F(u', u'') \ \& \ \dots \ F(u^{(n-1)}, u^{(n)})$.

† The expression “the functional calculus” is used throughout to mean the *restricted* Hilbert functional calculus.

‡ It is most natural to construct first a choice machine (§ 2) to do this. But it is then easy to construct the required automatic machine. We can suppose that the choices are always choices between two possibilities 0 and 1. Each proof will then be determined by a sequence of choices i_1, i_2, \dots, i_n ($i_1 = 0$ or 1 , $i_2 = 0$ or 1 , ..., $i_n = 0$ or 1), and hence the number $2^{i_1} + i_1 2^{i_2-1} + i_2 2^{i_3-2} + \dots + i_n$ completely determines the proof. The automatic machine carries out successively proof 1, proof 2, proof 3, ...

§ The author has found a description of such a machine.

¶ The negation sign is written before an expression and not over it.

¶ A sequence of r primes is denoted by r .

I say that α is then a computable sequence: a machine \mathcal{K}_α to compute α can be obtained by a fairly simple modification of \mathcal{K} .

We divide the motion of \mathcal{K}_α into sections. The n -th section is devoted to finding the n -th figure of α . After the $(n-1)$ -th section is finished a double colon :: is printed after all the symbols, and the succeeding work is done wholly on the squares to the right of this double colon. The first step is to write the letter " A " followed by the formula (A_n) and then " B " followed by (B_n) . The machine \mathcal{K}_α then starts to do the work of \mathcal{K} , but whenever a provable formula is found, this formula is compared with (A_n) and with (B_n) . If it is the same formula as (A_n) , then the figure " 1 " is printed, and the n -th section is finished. If it is (B_n) , then " 0 " is printed and the section is finished. If it is different from both, then the work of \mathcal{K} is continued from the point at which it had been abandoned. Sooner or later one of the formulae (A_n) or (B_n) is reached; this follows from our hypotheses about α and \mathfrak{U} , and the known nature of \mathcal{K} . Hence the n -th section will eventually be finished. \mathcal{K}_α is circle-free; α is computable.

It can also be shown that the numbers α definable in this way by the use of axioms include all the computable numbers. This is done by describing computing machines in terms of the function calculus.

It must be remembered that we have attached rather a special meaning to the phrase " \mathfrak{U} defines α ". The computable numbers do not include all (in the ordinary sense) definable numbers. Let δ be a sequence whose n -th figure is 1 or 0 according as n is or is not satisfactory. It is an immediate consequence of the theorem of § 8 that δ is not computable. It is (so far as we know at present) possible that any assigned number of figures of δ can be calculated, but not by a uniform process. When sufficiently many figures of δ have been calculated, an essentially new method is necessary in order to obtain more figures.

III. This may be regarded as a modification of I or as a corollary of II.

We suppose, as in I, that the computation is carried out on a tape; but we avoid introducing the "state of mind" by considering a more physical and definite counterpart of it. It is always possible for the computer to break off from his work, to go away and forget all about it, and later to come back and go on with it. If he does this he must leave a note of instructions (written in some standard form) explaining how the work is to be continued. This note is the counterpart of the "state of mind". We will suppose that the computer works in such a desultory manner that he never does more than one step at a sitting. The note of instructions must enable him to carry out one step and write the next note. Thus the state of progress of the computation at any stage is completely determined by the note of

instructions and the symbols on the tape. That is, the state of the system may be described by a single expression (sequence of symbols), consisting of the symbols on the tape followed by Δ (which we suppose not to appear elsewhere) and then by the note of instructions. This expression may be called the "state formula". We know that the state formula at any given stage is determined by the state formula before the last step was made, and we assume that the relation of these two formulae is expressible in the functional calculus. In other words, we assume that there is an axiom \mathfrak{U} which expresses the rules governing the behaviour of the computer, in terms of the relation of the state formula at any stage to the state formula at the preceding stage. If this is so, we can construct a machine to write down the successive state formulae, and hence to compute the required number.

10. *Examples of large classes of numbers which are computable.*

It will be useful to begin with definitions of a computable function of an integral variable and of a computable variable, etc. There are many equivalent ways of defining a computable function of an integral variable. The simplest is, possibly, as follows. If γ is a computable sequence in which 0 appears infinitely† often, and n is an integer, then let us define $\xi(\gamma, n)$ to be the number of figures 1 between the n -th and the $(n+1)$ -th figure 0 in γ . Then $\phi(n)$ is computable if, for all n and some γ , $\phi(n) = \xi(\gamma, n)$. An equivalent definition is this. Let $H(x, y)$ mean $\phi(x) = y$. Then, if we can find a contradiction-free axiom \mathfrak{U}_ϕ , such that $\mathfrak{U}_\phi \rightarrow P$, and if for each integer n there exists an integer N , such that

$$\mathfrak{U}_\phi \ \& \ F^{(N)} \rightarrow H(u^{(n)}, u^{(\phi(n))}),$$

and such that, if $m \neq \phi(n)$, then, for some N' ,

$$\mathfrak{U}_\phi \ \& \ F^{(N')} \rightarrow (-H(u^{(n)}, u^{(m)})),$$

then ϕ may be said to be a computable function.

We cannot define general computable functions of a real variable, since there is no general method of describing a real number, but we can define a computable function of a computable variable. If n is satisfactory, let γ_n be the number computed by $\mathcal{M}(n)$, and let

$$a_n = \tan\left(\pi\left(\gamma_n - \frac{1}{2}\right)\right),$$

† If \mathcal{M} computes γ , then the problem whether \mathcal{M} prints 0 infinitely often is of the same character as the problem whether \mathcal{M} is circle-free.

unless $\gamma_n = 0$ or $\gamma_n = 1$, in either of which cases $\alpha_n = 0$. Then, as n runs through the satisfactory numbers, α_n runs through the computable numbers[†]. Now let $\phi(n)$ be a computable function which can be shown to be such that for any satisfactory argument its value is satisfactory[‡]. Then the function f , defined by $f(\alpha_n) = \alpha_{\phi(n)}$, is a computable function and all computable functions of a computable variable are expressible in this form.

Similar definitions may be given of computable functions of several variables, computable-valued functions of an integral variable, etc.

I shall enunciate a number of theorems about computability, but I shall prove only (ii) and a theorem similar to (iii).

(i) A computable function of a computable function of an integral or computable variable is computable.

(ii) Any function of an integral variable defined recursively in terms of computable functions is computable. *I.e.* if $\phi(m, n)$ is computable, and r is some integer, then $\eta(n)$ is computable, where

$$\eta(0) = r,$$

$$\eta(n) = \phi(n, \eta(n-1)).$$

(iii) If $\phi(m, n)$ is a computable function of two integral variables, then $\phi(n, n)$ is a computable function of n .

(iv) If $\phi(n)$ is a computable function whose value is always 0 or 1, then the sequence whose n -th figure is $\phi(n)$ is computable.

Dedekind's theorem does not hold in the ordinary form if we replace "real" throughout by "computable". But it holds in the following form:

(v) If $G(\alpha)$ is a propositional function of the computable numbers and

$$(a) \quad (\exists \alpha)(\exists \beta) \{ G(\alpha) \ \& \ (-G(\beta)) \},$$

$$(b) \quad G(\alpha) \ \& \ (-G(\beta)) \rightarrow (\alpha < \beta),$$

and there is a general process for determining the truth value of $G(\alpha)$, then

[†] A function α_n may be defined in many other ways so as to run through the computable numbers.

[‡] Although it is not possible to find a general process for determining whether a given number is satisfactory, it is often possible to show that certain classes of numbers are satisfactory.

there is a computable number ξ such that

$$\begin{aligned} G(a) \rightarrow a &\leq \xi, \\ -G(a) \rightarrow a &\geq \xi. \end{aligned}$$

In other words, the theorem holds for any section of the computables such that there is a general process for determining to which class a given number belongs.

Owing to this restriction of Dedekind's theorem, we cannot say that a computable bounded increasing sequence of computable numbers has a computable limit. This may possibly be understood by considering a sequence such as

$$-1, -\frac{1}{2}, -\frac{1}{4}, -\frac{1}{8}, -\frac{1}{16}, \frac{1}{2}, \dots$$

On the other hand, (v) enables us to prove

(vi) If α and β are computable and $\alpha < \beta$ and $\phi(\alpha) < 0 < \phi(\beta)$, where $\phi(\alpha)$ is a computable increasing continuous function, then there is a unique computable number γ , satisfying $\alpha < \gamma < \beta$ and $\phi(\gamma) = 0$.

Computable convergence.

We shall say that a sequence β_n of computable numbers *converges computably* if there is a computable integral valued function $N(\epsilon)$ of the computable variable ϵ , such that we can show that, if $\epsilon > 0$ and $n > N(\epsilon)$ and $m > N(\epsilon)$, then $|\beta_n - \beta_m| < \epsilon$.

We can then show that

(vii) A power series whose coefficients form a computable sequence of computable numbers is computably convergent at all computable points in the interior of its interval of convergence.

(viii) The limit of a computably convergent sequence is computable.

And with the obvious definition of "uniformly computably convergent":

(ix) The limit of a uniformly computably convergent computable sequence of computable functions is a computable function. Hence

(x) The sum of a power series whose coefficients form a computable sequence is a computable function in the interior of its interval of convergence.

From (viii) and $\pi = 4(1 - \frac{1}{3} + \frac{1}{5} - \dots)$ we deduce that π is computable.

From $e = 1 + 1 + \frac{1}{2!} + \frac{1}{3!} + \dots$ we deduce that e is computable.

From (vi) we deduce that all real algebraic numbers are computable.

From (vi) and (x) we deduce that the real zeros of the Bessel functions are computable.

Proof of (ii).

Let $H(x, y)$ mean " $\eta(x) = y$ ", and let $K(x, y, z)$ mean " $\phi(x, y) = z$ ". \mathfrak{U}_ϕ is the axiom for $\phi(x, y)$. We take \mathfrak{U}_η to be

$$\begin{aligned} \mathfrak{U}_\phi \ \& \ P \ \& \ (F(x, y) \rightarrow G(x, y)) \ \& \ (G(x, y) \ \& \ G(y, z) \rightarrow G(x, z)) \\ & \ \& \ (F^{(r)} \rightarrow H(u, u^{(r)})) \ \& \ (F(v, w) \ \& \ H(v, x) \ \& \ K(w, x, z) \rightarrow H(w, z)) \\ & \ \& \ [H(w, z) \ \& \ G(z, t) \vee G(t, z) \rightarrow (-H(w, t))]. \end{aligned}$$

I shall not give the proof of consistency of \mathfrak{U}_η . Such a proof may be constructed by the methods used in Hilbert and Bernays, *Grundlagen der Mathematik* (Berlin, 1934), p. 209 *et seq.* The consistency is also clear from the meaning.

Suppose that, for some n, N , we have shown

$$\mathfrak{U}_\eta \ \& \ F^{(N)} \rightarrow H(u^{(n-1)}, u^{(\eta(n-1))}),$$

then, for some M ,

$$\begin{aligned} \mathfrak{U}_\phi \ \& \ F^{(M)} & \rightarrow K(u^{(n)}, u^{(\eta(n-1))}, u^{(\eta(n))}), \\ \mathfrak{U}_\eta \ \& \ F^{(M)} & \rightarrow F(u^{(n-1)}, u^{(n)}) \ \& \ H(u^{(n-1)}, u^{(\eta(n-1))}) \\ & \ \& \ K(u^{(n)}, u^{(\eta(n-1))}, u^{(\eta(n))}), \end{aligned}$$

and

$$\begin{aligned} \mathfrak{U}_\eta \ \& \ F^{(M)} & \rightarrow [F(u^{(n-1)}, u^{(n)}) \ \& \ H(u^{(n-1)}, u^{(\eta(n-1))}) \\ & \ \& \ K(u^{(n)}, u^{(\eta(n-1))}, u^{(\eta(n))}) \rightarrow H(u^{(n)}, u^{(\eta(n))})]. \end{aligned}$$

Hence $\mathfrak{U}_\eta \ \& \ F^{(M)} \rightarrow H(u^{(n)}, u^{(\eta(n))})$.

Also $\mathfrak{U}_\eta \ \& \ F^{(r)} \rightarrow H(u, u^{(\eta(0))})$.

Hence for each n some formula of the form

$$\mathfrak{U}_\eta \ \& \ F^{(M)} \rightarrow H(u^{(n)}, u^{(\eta(n))})$$

is provable. Also, if $M' \geq M$ and $M' \geq m$ and $m \neq \eta(u)$, then

$$\mathfrak{U}_\eta \ \& \ F^{(M')} \rightarrow G(u^{(\eta(n))}, u^{(m)}) \vee G(u^{(m)}, u^{(\eta(n))})$$

and

$$\mathfrak{U}_\eta \& F^{(M')} \rightarrow \left[\{ G(u^{(\eta(n))}, u^{(m)}) \vee G(u^{(m)}, u^{(\eta(n))}) \right. \\ \left. \& H(u^{(n)}, u^{(\eta(n))}) \} \rightarrow (-H(u^{(n)}, u^{(m)})) \right].$$

Hence
$$\mathfrak{U}_\eta \& F^{(M')} \rightarrow (-H(u^{(n)}, u^{(m)})).$$

The conditions of our second definition of a computable function are therefore satisfied. Consequently η is a computable function.

Proof of a modified form of (iii).

Suppose that we are given a machine \mathfrak{N} , which, starting with a tape bearing on it $\mathfrak{o}\mathfrak{o}$ followed by a sequence of any number of letters “ F ” on F -squares and in the m -configuration b , will compute a sequence γ_n depending on the number n of letters “ F ”. If $\phi_n(m)$ is the m -th figure of γ_n , then the sequence β whose n -th figure is $\phi_n(n)$ is computable.

We suppose that the table for \mathfrak{N} has been written out in such a way that in each line only one operation appears in the operations column. We also suppose that Ξ , Θ , $\bar{0}$, and $\bar{1}$ do not occur in the table, and we replace \mathfrak{o} throughout by Θ , 0 by $\bar{0}$, and 1 by $\bar{1}$. Further substitutions are then made. Any line of form

$$\mathfrak{U} \quad \alpha \quad P\bar{0} \quad \mathfrak{B}$$

we replace by

$$\mathfrak{U} \quad \alpha \quad P\bar{0} \quad \text{re}(\mathfrak{B}, u, h, k)$$

and any line of the form

$$\mathfrak{U} \quad \alpha \quad P\bar{1} \quad \mathfrak{B}$$

by
$$\mathfrak{U} \quad \alpha \quad P\bar{1} \quad \text{re}(\mathfrak{B}, v, h, k)$$

and we add to the table the following lines:

$$u \quad \text{pe}(u_1, 0)$$

$$u_1 \quad R, Pk, R, P\Theta, R, P\Theta \quad u_2$$

$$u_2 \quad \text{re}(u_3, u_3, k, h)$$

$$u_3 \quad \text{pe}(u_2, F)$$

and similar lines with v for u and 1 for 0 together with the following line

$$c \quad R, P\Xi, R, Ph \quad b.$$

We then have the table for the machine \mathfrak{N}' which computes β . The initial m -configuration is c , and the initial scanned symbol is the second \mathfrak{o} .

11. *Application to the Entscheidungsproblem.*

The results of § 8 have some important applications. In particular, they can be used to show that the Hilbert Entscheidungsproblem can have no solution. For the present I shall confine myself to proving this particular theorem. For the formulation of this problem I must refer the reader to Hilbert and Ackermann's *Grundzüge der Theoretischen Logik* (Berlin, 1931), chapter 3.

I propose, therefore, to show that there can be no general process for determining whether a given formula \mathcal{A} of the functional calculus \mathbf{K} is provable, *i.e.* that there can be no machine which, supplied with any one \mathcal{A} of these formulae, will eventually say whether \mathcal{A} is provable.

It should perhaps be remarked that what I shall prove is quite different from the well-known results of Gödel†. Gödel has shown that (in the formalism of Principia Mathematica) there are propositions \mathcal{A} such that neither \mathcal{A} nor $\neg \mathcal{A}$ is provable. As a consequence of this, it is shown that no proof of consistency of Principia Mathematica (or of \mathbf{K}) can be given within that formalism. On the other hand, I shall show that there is no general method which tells whether a given formula \mathcal{A} is provable in \mathbf{K} , or, what comes to the same, whether the system consisting of \mathbf{K} with $\neg \mathcal{A}$ adjoined as an extra axiom is consistent.

If the negation of what Gödel has shown had been proved, *i.e.* if, for each \mathcal{A} , either \mathcal{A} or $\neg \mathcal{A}$ is provable, then we should have an immediate solution of the Entscheidungsproblem. For we can invent a machine \mathcal{C} which will prove consecutively all provable formulae. Sooner or later \mathcal{C} will reach either \mathcal{A} or $\neg \mathcal{A}$. If it reaches \mathcal{A} , then we know that \mathcal{A} is provable. If it reaches $\neg \mathcal{A}$, then, since \mathbf{K} is consistent (Hilbert and Ackermann, p. 65), we know that \mathcal{A} is not provable.

Owing to the absence of integers in \mathbf{K} the proofs appear somewhat lengthy. The underlying ideas are quite straightforward.

Corresponding to each computing machine \mathcal{M} we construct a formula $\text{Un}(\mathcal{M})$ and we show that, if there is a general method for determining whether $\text{Un}(\mathcal{M})$ is provable, then there is a general method for determining whether \mathcal{M} ever prints 0.

The interpretations of the propositional functions involved are as follows :

$R_S(x, y)$ is to be interpreted as "in the complete configuration x (of \mathcal{M}) the symbol on the square y is S ".

† *Loc. cit.*

$I(x, y)$ is to be interpreted as "in the complete configuration x the square y is scanned".

$K_{q_m}(x)$ is to be interpreted as "in the complete configuration x the m -configuration is q_m ".

$F(x, y)$ is to be interpreted as " y is the immediate successor of x ".

$\text{Inst } \{q_i S_j S_k L q_l\}$ is to be an abbreviation for

$$(x, y, x', y') \left\{ \left(R_{S_j}(x, y) \ \& \ I(x, y) \ \& \ K_{q_i}(x) \ \& \ F(x, x') \ \& \ F(y', y) \right) \right. \\ \left. \rightarrow \left(I(x', y') \ \& \ R_{S_k}(x', y) \ \& \ K_{q_l}(x') \right) \right. \\ \left. \ \& \ (z) \left[F(y', z) \vee \left(R_{S_j}(x, z) \rightarrow R_{S_k}(x', z) \right) \right] \right\}.$$

$$\text{Inst } \{q_i S_j S_k R q_l\} \quad \text{and} \quad \text{Inst } \{q_i S_j S_k N q_l\}$$

are to be abbreviations for other similarly constructed expressions.

Let us put the description of .11 into the first standard form of § 6. This description consists of a number of expressions such as " $q_i S_j S_k L q_l$ " (or with R or N substituted for L). Let us form all the corresponding expressions such as $\text{Inst } \{q_i S_j S_k L q_l\}$ and take their logical sum. This we call $\text{Des}(.11)$.

The formula $\text{Un}(.11)$ is to be

$$(\exists u) \left[N(u) \ \& \ (x) \left(N(x) \rightarrow (\exists x') F(x, x') \right) \right. \\ \left. \ \& \ (y, z) \left(F(y, z) \rightarrow N(y) \ \& \ N(z) \right) \ \& \ (y) R_{S_0}(u, y) \right. \\ \left. \ \& \ I(u, u) \ \& \ K_{q_1}(u) \ \& \ \text{Des}(.11) \right] \\ \rightarrow (\exists s) (\exists t) [N(s) \ \& \ N(t) \ \& \ R_{S_1}(s, t)].$$

$[N(u) \ \& \ \dots \ \& \ \text{Des}(.11)]$ may be abbreviated to $A(.11)$.

When we substitute the meanings suggested on p. 259–60 we find that $\text{Un}(.11)$ has the interpretation "in some complete configuration of .11, S_1 (*i.e.* 0) appears on the tape". Corresponding to this I prove that

(a) If S_1 appears on the tape in some complete configuration of .11, then $\text{Un}(.11)$ is provable.

(b) If $\text{Un}(.11)$ is provable, then S_1 appears on the tape in some complete configuration of .11.

When this has been done, the remainder of the theorem is trivial.

LEMMA 1. *If S_1 appears on the tape in some complete configuration of \mathcal{A} , then $\text{Un}(\mathcal{A})$ is provable.*

We have to show how to prove $\text{Un}(\mathcal{A})$. Let us suppose that in the n -th complete configuration the sequence of symbols on the tape is $S_{r(n,0)}, S_{r(n,1)}, \dots, S_{r(n,n)}$, followed by nothing but blanks, and that the scanned symbol is the $i(n)$ -th, and that the m -configuration is $q_{k(n)}$. Then we may form the proposition

$$\begin{aligned} & R_{S_{r(n,0)}}(u^{(n)}, u) \& R_{S_{r(n,1)}}(u^{(n)}, u') \& \dots \& R_{S_{r(n,n)}}(u^{(n)}, u^{(n)}) \\ & \& I(u^{(n)}, u^{(i(n))}) \& K_{q_{k(n)}}(u^{(n)}) \\ & \& (y) F \left((y, u') \vee F(u, y) \vee F(u', y) \vee \dots \vee F(u^{(n-1)}, y) \vee R_{S_0}(u^{(n)}, y) \right), \end{aligned}$$

which we may abbreviate to CC_n .

As before, $F(u, u') \& F(u', u'') \& \dots \& F(u^{(r-1)}, u^{(r)})$ is abbreviated to $F^{(r)}$.

I shall show that all formulae of the form $A(\mathcal{A}) \& F^{(n)} \rightarrow CC_n$ (abbreviated to CF_n) are provable. The meaning of CF_n is "The n -th complete configuration of \mathcal{A} is so and so", where "so and so" stands for the actual n -th complete configuration of \mathcal{A} . That CF_n should be provable is therefore to be expected.

CF_0 is certainly provable, for in the complete configuration the symbols are all blanks, the m -configuration is q_1 , and the scanned square is u , i.e. CC_0 is

$$(y) R_{S_0}(u, y) \& I(u, u) \& K_{q_1}(u).$$

$A(\mathcal{A}) \rightarrow CC_0$ is then trivial.

We next show that $CF_n \rightarrow CF_{n+1}$ is provable for each n . There are three cases to consider, according as in the move from the n -th to the $(n+1)$ -th configuration the machine moves to left or to right or remains stationary. We suppose that the first case applies, i.e. the machine moves to the left. A similar argument applies in the other cases. If $r(n, i(n)) = a$, $r(n+1, i(n+1)) = c$, $k(i(n)) = b$, and $k(i(n+1)) = d$, then $\text{Des}(\mathcal{A})$ must include $\text{Inst}\{q_a S_b S_d L q_c\}$ as one of its terms, i.e.

$$\text{Des}(\mathcal{A}) \rightarrow \text{Inst}\{q_a S_b S_d L q_c\}.$$

Hence $A(\mathcal{A}) \& F^{(n+1)} \rightarrow \text{Inst}\{q_a S_b S_d L q_c\} \& F^{(n+1)}$.

But $\text{Inst}\{q_a S_b S_d L q_c\} \& F^{(n+1)} \rightarrow (CC_n \rightarrow CC_{n+1})$

is provable, and so therefore is

$$A(\mathcal{A}) \& F^{(n+1)} \rightarrow (CC_n \rightarrow CC_{n+1})$$

and
$$\left(A(\mathcal{A}) \& F^{(n)} \rightarrow CC_n \right) \rightarrow \left(A(\mathcal{A}) \& F^{(n+1)} \rightarrow CC_{n+1} \right),$$

i.e.
$$CF_n \rightarrow CF_{n+1}.$$

CF_n is provable for each n . Now it is the assumption of this lemma that S_1 appears somewhere, in some complete configuration, in the sequence of symbols printed by \mathcal{A} ; that is, for some integers N, K , CC_N has $R_{S_1}(u^{(N)}, u^{(K)})$ as one of its terms, and therefore $CC_N \rightarrow R_{S_1}(u^{(N)}, u^{(K)})$ is provable. We have then

$$CC_N \rightarrow R_{S_1}(u^{(N)}, u^{(K)})$$

and
$$A(\mathcal{A}) \& F^{(N)} \rightarrow CC_N.$$

We also have

$$(\exists u) A(\mathcal{A}) \rightarrow (\exists u) (\exists u') \dots (\exists u^{(N')}) \left(A(\mathcal{A}) \& F^{(N)} \right),$$

where $N' = \max(N, K)$. And so

$$(\exists u) A(\mathcal{A}) \rightarrow (\exists u) (\exists u') \dots (\exists u^{(N')}) R_{S_1}(u^{(N)}, u^{(K)}),$$

$$(\exists u) A(\mathcal{A}) \rightarrow (\exists u^{(N)}) (\exists u^{(K)}) R_{S_1}(u^{(N)}, u^{(K)}),$$

$$(\exists u) A(\mathcal{A}) \rightarrow (\exists s) (\exists t) R_{S_1}(s, t),$$

i.e. $\text{Un}(\mathcal{A})$ is provable.

This completes the proof of Lemma 1.

LEMMA 2. *If $\text{Un}(\mathcal{A})$ is provable, then S_1 appears on the tape in some complete configuration of \mathcal{A} .*

If we substitute any propositional functions for function variables in a provable formula, we obtain a true proposition. In particular, if we substitute the meanings tabulated on pp. 259–260 in $\text{Un}(\mathcal{A})$, we obtain a true proposition with the meaning “ S_1 appears somewhere on the tape in some complete configuration of \mathcal{A} ”.

We are now in a position to show that the Entscheidungsproblem cannot be solved. Let us suppose the contrary. Then there is a general (mechanical) process for determining whether $\text{Un}(\mathcal{A})$ is provable. By Lemmas 1 and 2, this implies that there is a process for determining whether \mathcal{A} ever prints 0, and this is impossible, by § 8. Hence the Entscheidungsproblem cannot be solved.

In view of the large number of particular cases of solutions of the Entscheidungsproblem for formulae with restricted systems of quantors, it

is interesting to express $\text{Un}(\mathcal{M})$ in a form in which all quantors are at the beginning. $\text{Un}(\mathcal{M})$ is, in fact, expressible in the form

$$(u)(\exists x)(w)(\exists u_1) \dots (\exists u_n) \mathfrak{B}, \quad (\text{I})$$

where \mathfrak{B} contains no quantors, and $n = 6$. By unimportant modifications we can obtain a formula, with all essential properties of $\text{Un}(\mathcal{M})$, which is of form (I) with $n = 5$.

Added 28 August, 1936.

APPENDIX.

Computability and effective calculability

The theorem that all effectively calculable (λ -definable) sequences are computable and its converse are proved below in outline. It is assumed that the terms "well-formed formula" (W.F.F.) and "conversion" as used by Church and Kleene are understood. In the second of these proofs the existence of several formulae is assumed without proof; these formulae may be constructed straightforwardly with the help of, *e.g.*, the results of Kleene in "A theory of positive integers in formal logic", *American Journal of Math.*, 57 (1935), 153-173, 219-244.

The W.F.F. representing an integer n will be denoted by N_n . We shall say that a sequence γ whose n -th figure is $\phi_\gamma(n)$ is λ -definable or effectively calculable if $1 + \phi_\gamma(u)$ is a λ -definable function of n , *i.e.* if there is a W.F.F. M_γ such that, for all integers n ,

$$\{M_\gamma\}(N_n) \text{ conv } N_{\phi_\gamma(n)+1},$$

i.e. $\{M_\gamma\}(N_n)$ is convertible into $\lambda xy.x(x(y))$ or into $\lambda xy.x(y)$ according as the n -th figure of λ is 1 or 0.

To show that every λ -definable sequence γ is computable, we have to show how to construct a machine to compute γ . For use with machines it is convenient to make a trivial modification in the calculus of conversion. This alteration consists in using x, x', x'', \dots as variables instead of a, b, c, \dots . We now construct a machine \mathcal{L} which, when supplied with the formula M_γ , writes down the sequence γ . The construction of \mathcal{L} is somewhat similar to that of the machine \mathcal{K} which proves all provable formulae of the functional calculus. We first construct a choice machine \mathcal{L}_1 , which, if supplied with a W.F.F., M say, and suitably manipulated, obtains any formula into which M is convertible. \mathcal{L}_1 can then be modified so as to yield an automatic machine \mathcal{L}_2 which obtains successively all the formulae

into which M is convertible (cf. foot-note p. 252). The machine \mathcal{L} includes \mathcal{L}_2 as a part. The motion of the machine \mathcal{L} when supplied with the formula M_γ is divided into sections of which the n -th is devoted to finding the n -th figure of γ . The first stage in this n -th section is the formation of $\{M_\gamma\}(N_n)$. This formula is then supplied to the machine \mathcal{L}_2 , which converts it successively into various other formulae. Each formula into which it is convertible eventually appears, and each, as it is found, is compared with

$$\lambda x \left[\lambda x' \left[\{x\}(\{x\}(x')) \right] \right], \quad i.e. N_2,$$

and with $\lambda x \left[\lambda x' [\{x\}(x')] \right], \quad i.e. N_1.$

If it is identical with the first of these, then the machine prints the figure 1 and the n -th section is finished. If it is identical with the second, then 0 is printed and the section is finished. If it is different from both, then the work of \mathcal{L}_2 is resumed. By hypothesis, $\{M_\gamma\}(N_n)$ is convertible into one of the formulae N_2 or N_1 ; consequently the n -th section will eventually be finished, *i.e.* the n -th figure of γ will eventually be written down.

To prove that every computable sequence γ is λ -definable, we must show how to find a formula M_γ such that, for all integers n ,

$$\{M_\gamma\}(N_n) \text{ conv } N_{1+\phi_\gamma(n)}.$$

Let \mathcal{M} be a machine which computes γ and let us take some description of the complete configurations of \mathcal{M} by means of numbers, *e.g.* we may take the D.N of the complete configuration as described in § 6. Let $\xi(n)$ be the D.N of the n -th complete configuration of \mathcal{M} . The table for the machine \mathcal{M} gives us a relation between $\xi(n+1)$ and $\xi(n)$ of the form

$$\xi(n+1) = \rho_\gamma(\xi(n)),$$

where ρ_γ is a function of very restricted, although not usually very simple, form: it is determined by the table for \mathcal{M} . ρ_γ is λ -definable (I omit the proof of this), *i.e.* there is a W.F.F. A_γ such that, for all integers n ,

$$\{A_\gamma\}(N_{\xi(n)}) \text{ conv } N_{\xi(n+1)}.$$

Let U stand for

$$\lambda u \left[\{ \{u\}(A_\gamma) \} (N_r) \right],$$

where $r = \xi(0)$; then, for all integers n ,

$$\{U_\gamma\}(N_n) \text{ conv } N_{\xi(n)}.$$

It may be proved that there is a formula V such that

$$\left\{ \{V\} (N_{\xi(n+1)}) \right\} (N_{\xi(n)}) \begin{cases} \text{conv } N_1 & \text{if, in going from the } n\text{-th to the } (n+1)\text{-th} \\ & \text{complete configuration, the figure 0 is} \\ & \text{printed.} \\ \text{conv } N_2 & \text{if the figure 1 is printed.} \\ \text{conv } N_3 & \text{otherwise.} \end{cases}$$

Let W_γ stand for

$$\lambda u \left[\left\{ \{V\} \left(\{A_\gamma\} \left(\{U_\gamma\} (u) \right) \right) \right\} \left(\{U_\gamma\} (u) \right) \right],$$

so that, for each integer n ,

$$\left\{ \{V\} (N_{\xi(n+1)}) \right\} (N_{\xi(n)}) \text{ conv } \{W_\gamma\} (N_n),$$

and let Q be a formula such that

$$\left\{ \{Q\} (W_\gamma) \right\} (N_s) \text{ conv } N_{r(s)},$$

where $r(s)$ is the s -th integer q for which $\{W_\gamma\} (N_q)$ is convertible into either N_1 or N_2 . Then, if M_γ stands for

$$\lambda w \left[\{W_\gamma\} \left(\left\{ \{Q\} (W_\gamma) \right\} (w) \right) \right],$$

it will have the required property†.

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† In a complete proof of the λ -definability of computable sequences it would be best to modify this method by replacing the numerical description of the complete configurations by a description which can be handled more easily with our apparatus. Let us choose certain integers to represent the symbols and the m -configurations of the machine. Suppose that in a certain complete configuration the numbers representing the successive symbols on the tape are $s_1 s_2 \dots s_n$, that the m -th symbol is scanned, and that the m -configuration has the number t ; then we may represent this complete configuration by the formula

$$[N_{s_1}, N_{s_2}, \dots, N_{s_{m-1}}, [N_t, N_{s_m}], [N_{s_{m+1}}, \dots, N_{s_n}]],$$

where

$$[a, b] \text{ stands for } \lambda u \left[\left\{ \{u\} (a) \right\} (b) \right],$$

$$[a, b, c] \text{ stands for } \lambda u \left[\left\{ \left\{ \{u\} (a) \right\} (b) \right\} (c) \right],$$

etc.